

## SRL Global's Nexus Platform™

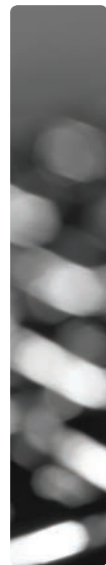
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SRL Global delivers a completely new level of clarity, insight and authority to investment management. We offer stakeholders and decision makers an informational edge through total transparency, consistent information, cross-manager visibility and instant access to the right data at the right time.



## About SRL Global

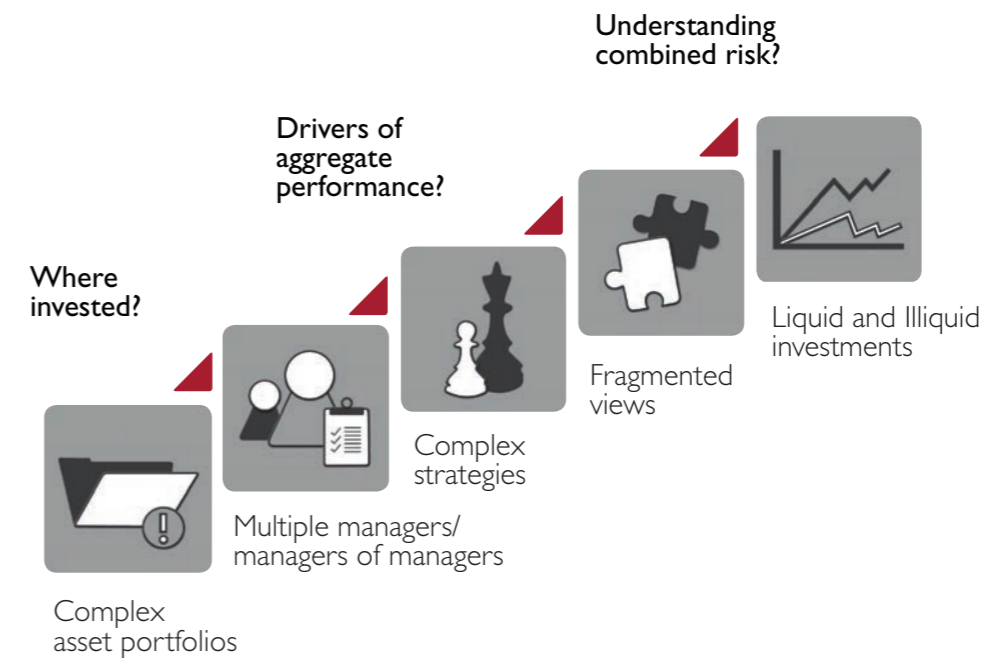
SRL Global (Statistical Research Laboratory) is a specialist technology company providing a cutting edge system, which delivers investment control and management of assets. Our cloud based enterprise system 'Nexus Platform' allows stakeholders and decision makers to monitor, measure and manage their investments. This can be done individually and in aggregate, regardless of whether investments are managed in-house or externally and across all asset classes and instrument types. With a client specific investment data hub at its core, the technology acts as a single touch-point for all investment information, by delivering an entire wealth picture on demand. We work in partnership with our clients and empower them to understand with full transparency that their portfolio is well managed, compliant and whether the risk and return profile is in-line with their overall portfolio strategy.



*SRL Global was established in 2007 as a trading and technology joint venture with Man Group Plc, to develop a proprietary enterprise-wide investment platform. In 2009 after a successful management buy-out, SRL Global became an independent company backed by ex-Man Group board members and RIT Capital Partners Plc (the publicly traded Investment Trust in which Lord Rothschild and his family are substantial investors.)*

## The Key Challenges For Asset Owners

Assets owners are facing increasing pressures in the current evolving market. Investment portfolios are complicated and made up of many moving parts. Strategies and investment vehicles are becoming more sophisticated which makes it even more difficult for asset owners to manage their combined risk. The increasing regulatory pressure and fiduciary requirements will likely mean that the investment focus will continue its shift from an emphasis on the pre-investment search, selection and due diligence of investments; to actually monitoring, measuring and managing those investments in-line with their overall investment strategy and risk objectives.

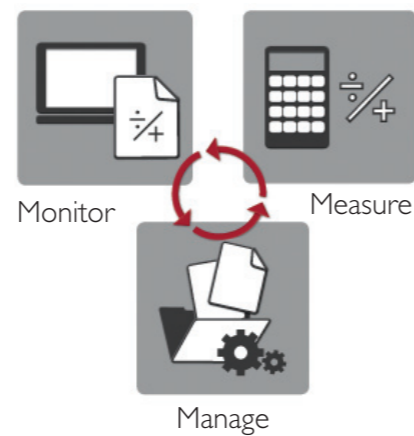


*The need for total transparency, consistent information, data integrity, quality and an in-depth consolidated view of a whole portfolio, is becoming essential for making informed investment decisions at the combined portfolio level. Previously the preserve of the bulge bracket investment firms, SRL Global brings this solution direct to clients.*

## The SRL Global Solution

SRL Global's Nexus Platform™ is an integrated infrastructure that aggregates data from multiple sources and offers consistent and transparent reporting. The Nexus Platform™ strengthens oversight capabilities through the provision of an independent audit trail of investment activity, exposures, restrictions and compliance failures. Collecting data straight from source using an automated process, accessing different counter-parties across multiple formats (custodians, managers, brokers, administrators); Nexus extracts, verifies and standardises the trade and position information and enriches it with global reference data. This creates an enterprise wide single reference point that is consistent, standardised and complete. Empowering investment decisions and business functions to be driven off their own data. All information is stored securely, and is owned by and accessible to the client in real-time through an easy to navigate web-based interface. Analytic tools are included to answer quick queries about transactions, analyse manager behaviour, or to make deep-dive interrogation on the investment portfolio. Investment information and platform functionality can be controlled by the client so that only users with the appropriate rights have the permission to access.

*The SRL Global solution provides clients with a single source of truth and view of their investments, to provide greater control, insight and tools to help monitor, measure and manage their wealth. We work with our clients to continually innovate and improve our systems to support their growing or changing investment/business needs. Providing value and productivity through a system that continues to work for them.*



## SRL Global provides the following features & services

- Fully managed, secured and hosted hardware, infrastructure and software
- Secure cloud based technology, accessible globally and allow users to collaborate in real time
- Consistent and standardised source of your own investment information
- Superior continuous client service, with client on-boarding and full training
- Permission module - extensive permissions system
- Free system upgrades and updates
- No increased staffing requirements, we work with your team in partnership
- Increased productivity
- Manage risk, tighten operational processes and increase transparency
- SRL Global undertake all data management services, including data formatting and all data collection
- Open architecture system, data fully downloadable and data integration with other systems
- ISO 9001 and ISO 27001 certified

Increase Transparency and Oversight With Intelligent Alerts		Tighten Operational Processes		Risk Management	
Compliance failures and style drift monitoring	✓	Real-time fund NAV estimation and fees accrual	✓	What-if-analysis	✓
Counter party risk and margin monitoring	✓	Fund allocation transaction approval	✓	Benchmark analysis	✓
Investment concentration monitoring	✓	Secure investor/manager communication and disclosure	✓	Factor sensitivity analysis	✓
Adherence to investment management guidelines (investment limits)	✓	Electronic document storage (term sheets and transactions)	✓	Exposures analysis at instrument level	✓
Investment exposure, monitoring and extreme market scenario analysis	✓	Real-time fund NAV estimation and fees accrual	✓	Liquidity analysis and cash management	✓

# Nexus Platform™

## Main features

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### Data Management

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- Data integration, multi-source and multi-format
- Data warehouse to consolidate, archive and redistribute all types of investment data
- Data management automation - provide clarity and quality control of all integrated data
- Optimised data retrieval for performance, risk attribution calculations and reporting
- Application Protocol Interfaces to allow interface with other applications

### Middle & Back Office Operations Management

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- Data enrichment management
- Data reconciliation, single and multiple source
- Market data (reference data owned by us) to align with clients investment data
- Transaction management, cash accounts, liquidity, re-balances
- Compliance workflow
- Business workflow
- Trade/position breaks, exception management

### Analytics

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- NAV estimate (pricing estimation of your portfolios)
- Flexible fee schedule
- Multi-investment, multi-currency share class NAV estimation
- Supports notionally funded managed account structure
- Flexible pivot table setup for easy access and analysis
  
- Performance measurement for multi-timeline, multi-share class and multi-currency returns
- Fixed Income Attribution
- Risk attribution and decomposition
- Equities/balanced attribution
- Ability to classify and perform drill down analysis
- Drill-down analysis of attribution effects on a day to day basis
- Key rate risk - Provides breakdown of interest rate curve risk into key rates buckets (3M, 2Y, 5Y, 10Y etc). Analysis of G10 yields curves or user defined yield curves.
- Exposures/Greeks - Exposure analysis for all instruments and breakdown of equity, currency, commodity, interest rate, fixed income and investment exposures
- Pricing libraries- Rich set of pricing libraries across all asset classes including OTC instruments.

### Reporting

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- Automated dashboard report generation
- Modification

### CRM

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- Contacts, companies management that links to investment entities
- Activities, Notes, Status management
- Keyword tagging for ease of search and categorisation

### Security/Authorisation Management

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- Compliance alerts/breaches
- Audited system and error recovery mechanisms
- Variable levels of access and permissions: key for serving multi-generational families and sophisticated executive teams

### Infrastructure

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- Secure confidential private cloud based system
- Secure access to information with the desired level of interactivity and flexibility
- 24/7 Online Access
- Exchange Level security
- Proven Industrial infrastructure managed and secured in the SRL Global cloud

## Why SRL Global?

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1. All clients are different, SRL Global's solution adapts to fit your business and processes
2. SRL Global has been in operation since 2007. With our management's and shareholder's knowledge and background, we have unique expertise and insight in this field
3. SRL Global has forged close partnerships with clients, many of whom are leading global institutions
4. The highest levels of client confidentiality is always maintained
5. SRL Global's experienced staff are the foundation of our business and success
6. An in-depth consultative service is undertaken prior to client take on, so we can fully understand your issues, processes, work-flows and objectives. This enables us to adapt the solution to clients specific needs
7. All the onboarding of client's data, obtaining it from the data providers, validating and reconciling is managed by SRL Global. This provides you with a precise entire wealth picture on demand
8. Clients are supplied with superior accurate data and comprehensive data aggregation
9. Dedicated implementation and support teams work with clients across: consultation, onboarding, training, through to ongoing client service
10. SRL Global continues to innovate and expand its solution to meet and support client's increasing and challenging needs. Over 50% of staff are dedicated to research and development

### **SRL Global**

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